

PHS/NIH Project Financial Conflict of Interest



In accordance with the University's governance principles expressed in the Compliance Framework, the Staff Code of Conduct, the JCU Code for the Responsible Conduct of Research Policy and the PHS/NIH Financial Conflicts of Interest Procedure, staff members (senior and key personnel such as Principal Investigators and Investigators on PHS/NIH funded research projects) are required to declare Significant Financial Interests (SFIs). This information will be managed as per the Procedure and a PHS/NIH Financial Conflicts of Interest Confidential Register of all interests declared will be maintained.

SURNAME:	First Name:
Position:	Date:
Value of SFI	\$
Directorate/ College/Division:		

Please detail the Disclosure of any Significant Financial Interest (staff member/spouse, dependent children) as per the Procedure and the type of interest i.e. salary, royalties, consulting fees, honoraria, paid authorship, reimbursed or sponsored travel.

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Please advise for the 12 months preceding this financial interest disclosure, the value of equity interest as at the date of this Disclosure .

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In regard to this Disclosure (if > \$5,000) please provide the value of any income received that is related to intellectual property (IP) rights and interests, for example, patents and copyrights.

.....

If the Disclosure includes any reimbursed or sponsored travel, please provide the value and details of the reimbursement or travel, i.e. the purpose, destination and duration for the travel and the details of the sponsor or organisation funding this travel.

.....

Please provide details of the Disclosure in relation source as below: (X)

Received from a foreign institution or government	Yes			No		
Name						
Received from a publicly traded or non-publicly traded entity	Yes			No		
Name						

The Financial Conflict of Interest has been identified as an (please tick appropriate boxes):

- Actual** financial conflict of interest
- Perceived financial** conflict of interest
- Potential** financial conflict of interest

Proposed action to be taken to resolve or manage the financial conflict of interest:

I declare the above details are correct to the best of my knowledge and I make this financial conflict of interest disclosure in good faith.	I hereby declare that I have received and noted this financial conflict of interest disclosure
The above action has been agreed on to resolve the financial conflict of interest declared.	
Signature	Signature (Manager/Supervisor)
Date / /	Date / /

If circumstances change or the management action proves insufficient to manage the financial conflict of interest

Adjustment of action to resolve or manage the financial conflict of interest:
5.

The above action adjustment has been agreed on to resolve the financial conflict of interest declared on / / .	
Signature	Signature (Manager/Supervisor)
Date / /	Date / /

The actions to manage the financial conflict of interest are adequate	
Signature (Dean/Director/Pro Vice Chancellor)	
Date / /	

Finalisation of Financial Conflict of Interest

The financial conflict of interest declared by _____ on _____ / _____ / _____ has now been resolved and no further action is required.	
Signature	Signature (Manager/Supervisor)
Date / /	Date / /

Definitions:

Conflict of interest Under the PHS/NIH Financial Conflict of Interest Policy, a financial conflict of interest exists when the recipient's designated official(s) reasonably determines that an investigator's Significant Financial Interest could directly and significantly affect the design, conduct, or reporting of the NIH-funded research.

Notes:

Forward to the relevant Responsible Officer as per the Financial Conflict of Interest Procedure to register the Conflict of Interest Declaration.

References:

- 1. JCU PHS/NIH Project Financial Conflict of Interest Procedure
- 2. PHS/NIH Project Financial Conflict of Interest Policy