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**JAMES COOK UNIVERSITY**

**ANIMAL ETHICS COMMITTEE**

**UNEXPECTED ADVERSE EVENT (UAE) REPORT**

**An unexpected adverse event is an incident or event that results in a negative impact on the wellbeing of animals and was not foreshadowed in the approved project or activity.**

An unexpected adverse event may result from different causes, including but not limited to:

* The death of an animal, or group of animals, that was not expected (e.g. during surgery or anaesthesia, or after a procedure or treatment)
* Adverse effects following a procedure or treatment that were not expected
* Adverse effects in a larger number of animals than predicted during the planning of the project or activity, based on the number of animals actually used, not the number approved for the study
* A greater level of pain or distress than was predicted during the planning of the project or activity
* Power failures, inclement weather, emergency situations or other factors external to the project or activity that have a negative impact on the welfare of the animals
* Effects that indicate the model or experimental design is not proceeding in a way to give meaningful results and to continue would mean that animals may be wasted.

**Unexpected Adverse Events must be reported to the AEC within 48 hours of their occurrence. If investigators are in the field or unable to access this form, then a report may be lodged via email** **ethics@jcu.edu.au** **and the completed report submitted as soon as possible afterwards.**

**1. Project Details:**

|  |  |  |
| --- | --- | --- |
| **1a** | **Title of project** |  |
| **1b** | **AEC Approval No.** | **A** |
| **1c** | **Principal investigator** |  |

**2. Animal Details**

|  |  |  |
| --- | --- | --- |
| **2a** | **Number of animals or IDs** |  |
| **2b** | **Species** |  |
| **2c** | **Strain/breed** |  |
| **2d** | **Sex** |  |
| **2e** | **Age** |  |

**3. UAE Details**

|  |  |  |
| --- | --- | --- |
| **3a** | **Date/time** |  |
| **3b** | **Location/Facility** |  |

**4. UAE Description**

|  |  |
| --- | --- |
| **4** | **Describe the event and circumstances leading up to the event –** include details of everything pertinent to the incident including the time course and provide all animal monitoring records |
|  |

**5. Actions Taken**

|  |  |
| --- | --- |
| **5** | **Outline the actions taken –** immediate response examination, treatments provided, euthanasia, post-mortem exam etc. |
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**6. Investigation Results**

|  |  |
| --- | --- |
| **6a** | **Summarise the results from examinations, investigations undertaken and tests carried out –** physical examination, pathology tests, scans, post-mortem, veterinary examination etc. Attach any results if applicable. |
|  |
| **6b** | **What do you think is the probable cause of the UAE?** |
|  |
| **6c** | **Was the UAE related to the project?** |
| [ ]  Related | [ ]  Possibly Related | [ ]  Unrelated | [ ]  Probably Unrelated | [ ]  Unsure |

**7. Response and Follow-up**

|  |  |
| --- | --- |
| **7a** | **What actions have been taken to prevent a recurrence of the event?** |
|  |
| **7b** | **Is a project amendment required?** |
|  |

**8. Authorisation/Signature of Person Responsible**

|  |  |
| --- | --- |
| **Name of reporting person** |  |
| **Position/Role on project** |  |
| **Signature** |  |
| **Division/College**  |  |
| **Signature of PI** (if not the reporting person) |  |